

The Parameterized Complexity of the Rectangle Stabbing Problem and its Variants¹

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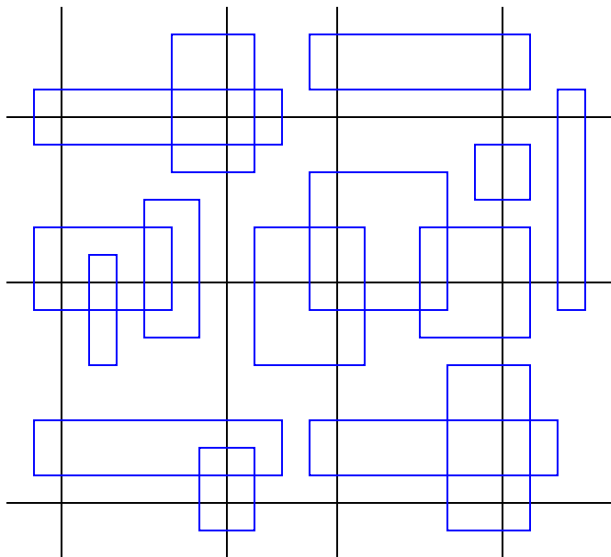
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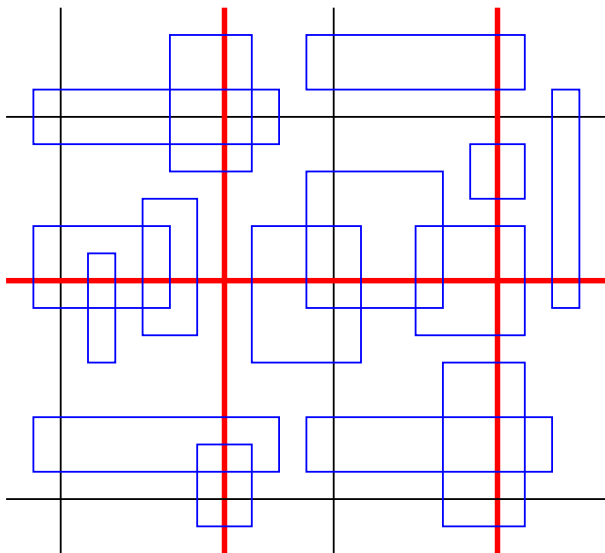
FAW 2008

¹Supported by the DAAD-DST exchange program D/05/57666.

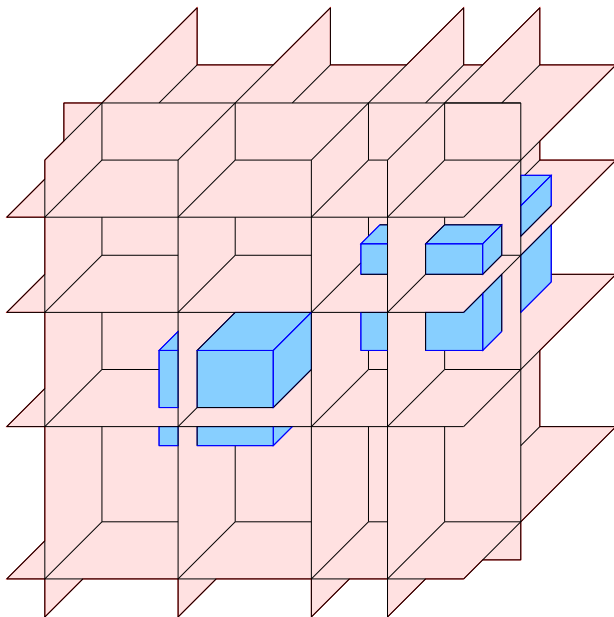
(2-Dimensional) Rectangle Stabbing



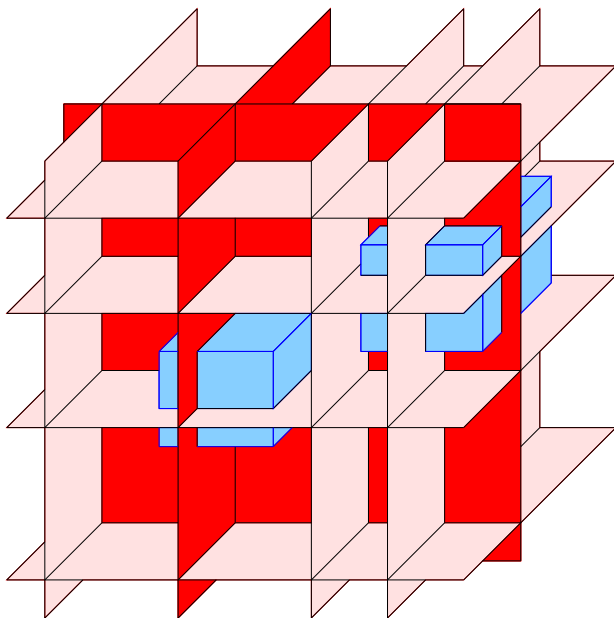
(2-Dimensional) Rectangle Stabbing



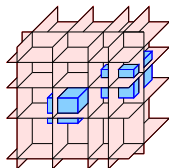
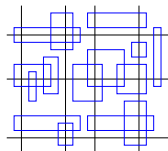
3-Dimensional Rectangle Stabbing



3-Dimensional Rectangle Stabbing



d -Dimensional Rectangle Stabbing

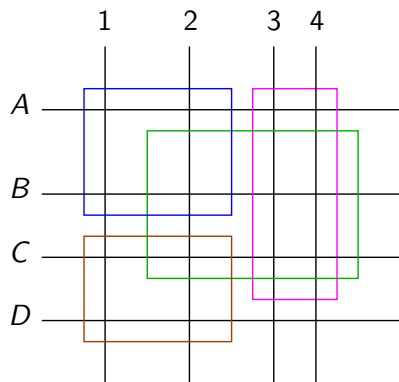


d -Dimensional Rectangle Stabbing

Input: A set R of axis-parallel d -dimensional hyperrectangles, a set L of axis-parallel $(d - 1)$ -dimensional hyperplanes, a positive integer k .

Question: Exists $L' \subseteq L$ with $|L'| \leq k$ such that every hyperrectangle from R is intersected by at least one hyperplane from L' ?

d -Dimensional Rectangle Stabbing and Set Cover



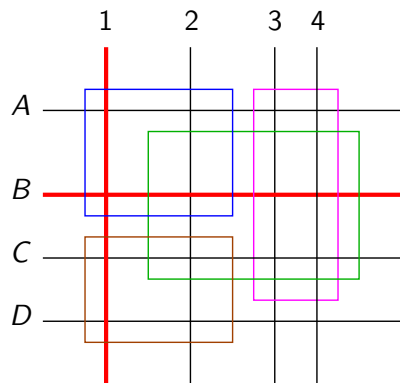
1	2	3	4	A	B	C	D	
1	1			1	1			
	1	1	1		1	1		
		1	1	1	1	1		
1	1						1	1

Set Cover

Input: A binary matrix M , a positive integer k .

Question: Is there a set of at most k columns that hits a 1 in every row?

d -Dimensional Rectangle Stabbing and Set Cover



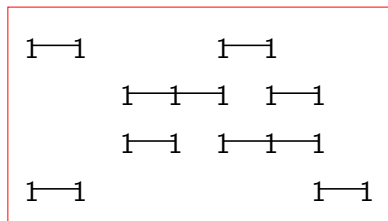
1	2	3	4	A	B	C	D
1	1			1	1		
	1	1	1		1	1	
		1	1	1	1	1	
1	1						1

Set Cover

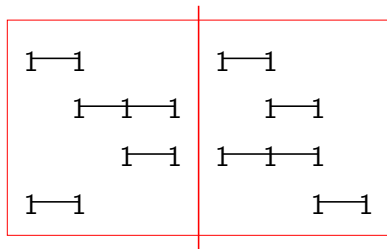
Input: A binary matrix M , a positive integer k .

Question: Is there a set of at most k columns that hits a 1 in every row?

d -Consecutive-Ones Property (d -C1P) and Separated d -Consecutive-Ones Property (d -XC1P)

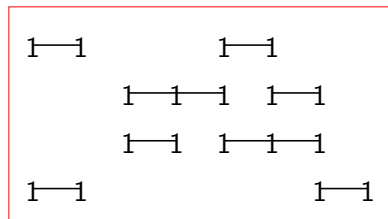


2-C1P:
two blocks per row

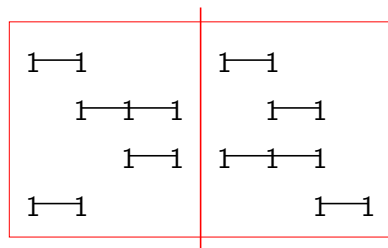


2-XC1P:
dividing line, one block per section

Restricted Variants of Set Cover



2-C1P



2-XC1P

d -C1P-Set Cover: Input Matrix has d -C1P.

d -XC1P-Set Cover: Input Matrix has d -XC1P.

d -XC1P-Set Cover and d -Dimensional Rectangle Stabbing are equivalent. Both are NP-complete for $d = 2$.

Known Results

- ▶ Factor- $d2^{d-1}$ approximation for d -Dimensional Rectangle Stabbing when all hyperrectangles are identical
[Hassin and Megiddo, *Discrete Appl. Math.*, '91]
- ▶ Factor- d approximation for d -Dimensional Rectangle Stabbing
[Gaur et al., *J. Algorithms*, '02]
- ▶ Factor- d approximation for d -C1P-Set Cover
[Mecke et al., *ATMOS '05*]
- ▶ Approximation algorithms for 2-Dimensional Rectangle Stabbing when every rectangle has height or width one
[Hassin and Megiddo, *Discrete Appl. Math.*, '91,
Kovaleva and Spieksma, *ISAAC '01*, *SIAM J. Discrete Math.*, '06]
- ▶ 3-C1P-Set Cover is $W[1]$ -hard
[Fellows, personal communication, 2007]
- ▶ **Open:** Parameterized complexity of d -Dimensional Rectangle Stabbing

Parameterized Complexity

- ▶ Main idea: Measure complexity not only in input size, but also in an additional “parameter” k .
- ▶ *Fixed parameter tractable (FPT)*
with respect to a parameter k
 \Leftrightarrow running time $f(k) \cdot n^{O(1)}$.

Example: $O(2^k \cdot n)$

Not FPT: $O(n^k)$

- ▶ W[1]-hardness: Basic concept for parameterized intractability.

Our Results

Hardness:

- ▶ 3-XC1P-Set Cover (and 3-Dimensional Rectangle Stabbing) is $W[1]$ -hard

Algorithms:

- ▶ $O((bk)^k \cdot n^{O(1)})$ time algorithm for 2-Dimensional Rectangle Stabbing when every rectangle has height or width b
- ▶ Problem kernel for 2-Dimensional Rectangle Stabbing when every horizontal line intersects at most b rectangles

Our Results

Hardness:

- ▶ **3-XC1P-Set Cover (and 3-Dimensional Rectangle Stabbing) is $W[1]$ -hard**

Algorithms:

- ▶ $O((bk)^k \cdot n^{O(1)})$ time algorithm for 2-Dimensional Rectangle Stabbing when every rectangle has height or width b
- ▶ Problem kernel for 2-Dimensional Rectangle Stabbing when every horizontal line intersects at most b rectangles

3-XC1P-Set Cover is $W[1]$ -hard

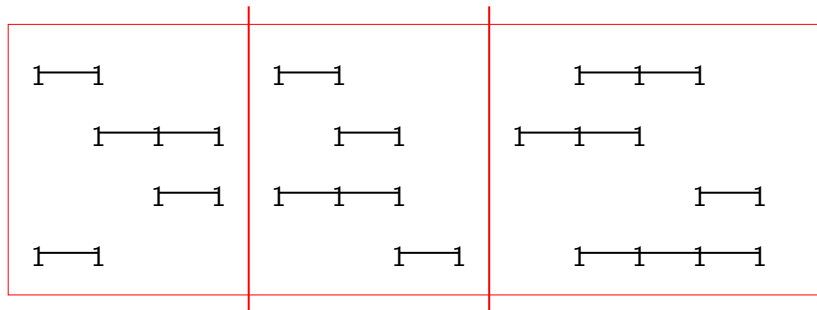
Parameterized reduction:

- ▶ Same basic idea as polynomial-time reduction:
Reduce from a hard problem.

$$(x, k) \rightsquigarrow (x', k')$$

- ▶ New parameter must depend only on the old parameter:
 $k' = f(k)$.
- ▶ (Reduction may cost $f(k) \cdot n^{O(1)}$ time.)

3-XC1P-Set Cover is $W[1]$ -hard



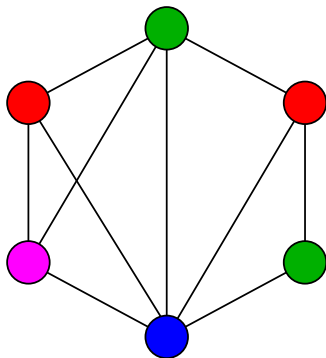
3-XC1P-Set Cover

Input: A binary matrix M with 3-XC1P, a positive integer k .

Question: Is there a set of at most k columns that hits a 1 in every row?

3-XC1P-Set Cover is $W[1]$ -hard

Reduction from the $W[1]$ -hard problem Multicolored Clique.



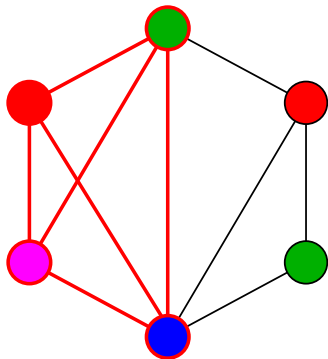
Multicolored Clique

Input: A k -colored undirected graph and a positive integer k .

Question: Is there a clique of size k ?

3-XC1P-Set Cover is $W[1]$ -hard

Reduction from the $W[1]$ -hard problem Multicolored Clique.



Multicolored Clique

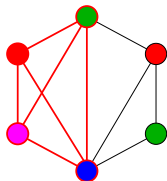
Input: A k -colored undirected graph and a positive integer k .

Question: Is there a clique of size k ?

3-XC1P-Set Cover is W[1]-hard

Reformulation of Multicolored Clique:

[Fellows et al., manuscript, 2008]



Question: Is there a set E' of $\binom{k}{2}$ edges and a set V' of k vertices such that

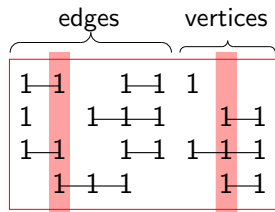
- ▶ E' contains an edge of every “edge color”,
- ▶ V' contains a vertex of every color, and
- ▶ $\{v, w\} \in E' \rightarrow v, w \in V' ?$

3-XC1P-Set Cover is $W[1]$ -hard

Question: Is there a set E' of $\binom{k}{2}$ edges and a set V' of k vertices such that

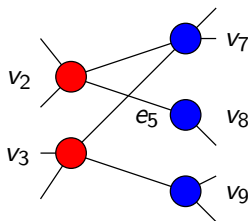
- ▶ E' contains an edge of every “edge color”,
- ▶ V' contains a vertex of every color, and
- ▶ $\{v, w\} \in E' \rightarrow v, w \in V' ?$

Approach for the reduction to 3-XC1P-Set Cover:



- ▶ one column for every edge and every vertex
- ▶ number of columns to select: $\binom{k}{2} + k$
- ▶ rows to enforce the three constraints

3-XC1P-Set Cover is W[1]-hard

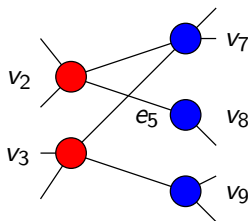


- ▶ E' contains an edge of every “edge color”,
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- ▶ $\{v, w\} \in E' \rightarrow v, w \in V' ?$

{red, blue}				red		blue		
e ₄ e ₅ e ₆ e ₇				v ₂ v ₃		v ₇ v ₈ v ₉		
1	1	1	1					
				1	1			
						1	1	1
1		1	1	1				
1		1	1					1

Number of columns to select: $\binom{k}{2} + k$.

3-XC1P-Set Cover is W[1]-hard



- ▶ E' contains an edge of every “edge color”,
- ▶ V' contains a vertex of every color, and
- ▶ $\{v, w\} \in E' \rightarrow v, w \in V' ?$

{red, blue}				red		blue		
e4 e5 e6 e7				v2 v3		v7 v8 v9		
	1	1	1 1					
				1 1				
						1 1 1		
	1		1 1	1				
	1		1 1				1	

Number of columns to select: $\binom{k}{2} + k$.

3-XC1P-Set Cover is W[1]-hard

... {red, blue}		red		blue			...
... e ₄ e ₅ e ₆ e ₇	v ₂ v ₃	...	v ₇ v ₈ v ₉	...	
1 1 1 1				1 1 1							
						1 1			1 1 1		
	1 1 1					1			1		
	1 1 1					1			1		
	1 1 1						1		1		
	1 1 1						1		1		
	1 1 1							1			
	1 1 1								1		
				1 1							
				1 1							
			1	1		
			1	1							
			1	1							

3-XC1P-Set Cover is W[1]-hard

... {red, blue} {red, blue} red blue ...		
... e ₄ e ₅ e ₆ e ₇ e ₄ e ₅ e ₆ e ₇ v ₂ v ₃ v ₇ v ₈ v ₉ ...		
1	1	1	1									
				1	1	1	1					
								1	1			
										1	1	1
1					1	1	1					
1	1					1	1					
		1	1									
			1		1							
					1	1						
					1	1						
								1				
											1	

Number of columns to select: $2 \cdot \binom{k}{2} + k$.

3-XC1P-Set Cover is W[1]-hard

... {red, blue} {red, blue} red blue ...				
... e ₄ e ₅ e ₆ e ₇ e ₄ e ₅ e ₆ e ₇ v ₂ v ₃ v ₇ v ₈ v ₉ ...				
1	1	1	1											
				1	1	1	1							
								1	1					
1						1	1					1	1	1
1	1						1							
1	1	1												
	1	1	1		1									
		1	1		1	1								
			1		1	1	1							
1						1	1	1						
1						1	1					1		

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3-XC1P-Set Cover is W[1]-hard

... {red, blue} {red, blue} red blue ...			
... e ₄ e ₅ e ₆ e ₇ e ₄ e ₅ e ₆ e ₇ v ₂ v ₃ v ₇ v ₈ v ₉ ...			
1	1	1	1										
				1	1	1	1						
								1	1				
1					1	1	1				1	1	1
1	1						1	1					
1	1	1					1						
	1	1	1		1								
		1	1		1	1							
			1		1	1	1						
1							1	1	1				
1							1	1				1	

Number of columns to select: $2 \cdot \binom{k}{2} + k$.

3-XC1P-Set Cover is W[1]-hard

... {red, blue} {red, blue} red blue ...			
... e ₄ e ₅ e ₆ e ₇ e ₄ e ₅ e ₆ e ₇ v ₂ v ₃ v ₇ v ₈ v ₉ ...			
1	1	1	1										
				1	1	1	1						
								1	1				
1						1	1				1	1	1
1	1						1	1					
1	1	1					1						
		1	1	1									
			1	1									
			1			1	1	1					
1							1	1	1				
1							1	1				1	

Number of columns to select: $2 \cdot \binom{k}{2} + k$.

3-XC1P-Set Cover is W[1]-hard

... {red, blue} {red, blue} red blue ...			
... e ₄ e ₅ e ₆ e ₇ e ₄ e ₅ e ₆ e ₇ v ₂ v ₃ v ₇ v ₈ v ₉ ...			
1	1	1	1										
				1	1	1	1						
								1	1				
1											1	1	1
1	1												
1	1	1											
	1	1	1										
		1	1										
			1										
1								1					
1												1	

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... {red, blue} {red, blue} red blue ...			
... e ₄ e ₅ e ₆ e ₇ e ₄ e ₅ e ₆ e ₇ v ₂ v ₃ v ₇ v ₈ v ₉ ...			
1	1	1	1										
				1	1	1	1						
								1	1				
1					1	1	1				1	1	1
1	1						1	1					
1	1	1					1						
	1	1	1		1								
		1	1		1	1							
			1		1	1	1						
1							1	1	1				
1							1	1				1	

Number of columns to select: $2 \cdot \binom{k}{2} + k$.

3-XC1P-Set Cover is $W[1]$ -hard

Theorem

For every $d \geq 3$, d -Dimensional Rectangle Stabbing is $W[1]$ -hard with respect to the parameter k .

With similar reductions:

Theorem

For every $d \geq 3$, d -Dimensional Rectangle Stabbing with hypercubes is $W[1]$ -hard.

Theorem

For every $d \geq 3$, the variation of d -Dimensional Rectangle Stabbing with lines instead of hyperplanes is $W[1]$ -hard.

Our Results

Hardness:

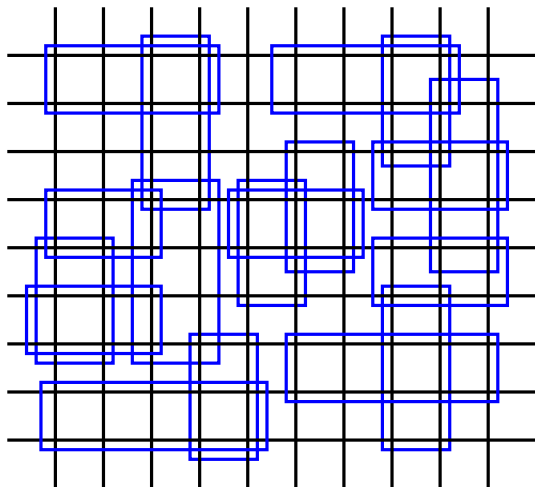
- ▶ 3-XC1P-Set Cover (and 3-Dimensional Rectangle Stabbing) is $W[1]$ -hard

Algorithms:

- ▶ $O((bk)^k \cdot n^{O(1)})$ **time algorithm for 2-Dimensional Rectangle Stabbing when every rectangle has height or width b**
- ▶ Problem kernel for 2-Dimensional Rectangle Stabbing when every horizontal line intersects at most b rectangles

FPT Algorithm for Rectangles of Bounded Width or Height

Restriction: Every rectangle has width b or height b .

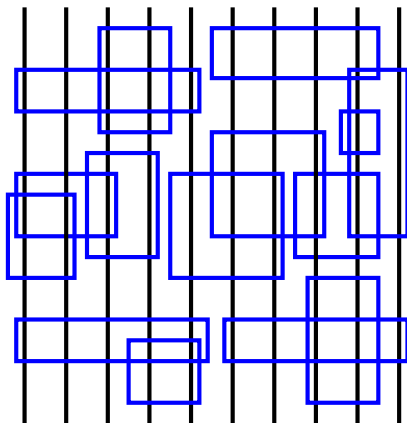


$k = 5$

FPT Algorithm for Rectangles of Bounded Width or Height

Subroutine: Polynomial time algorithm for one-directional lines.

Restriction here: The input contains only vertical lines.

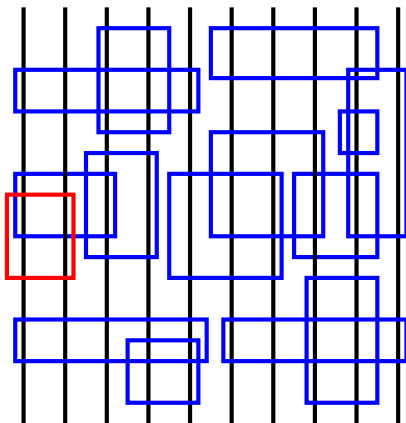


Identical to Clique Cover on Interval Graphs.

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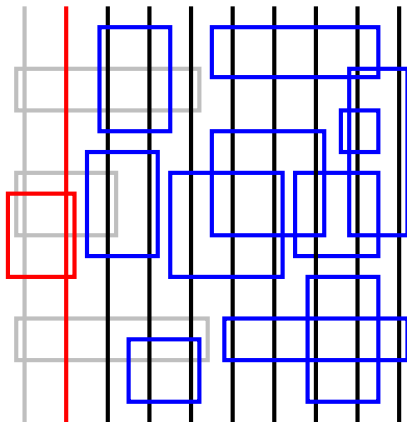


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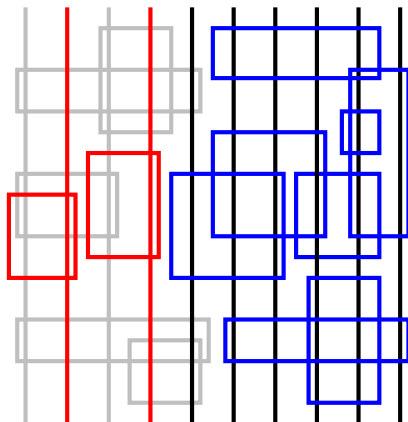


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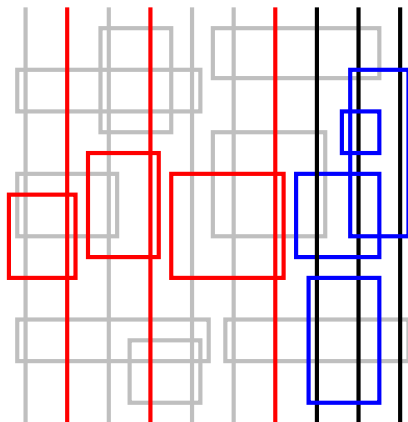


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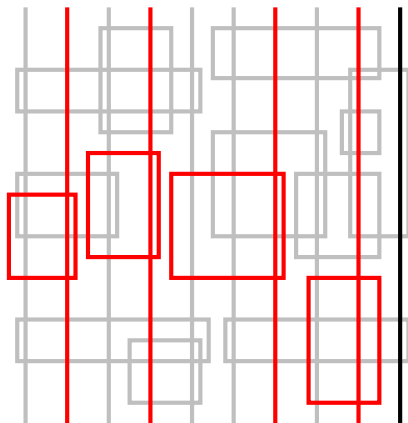


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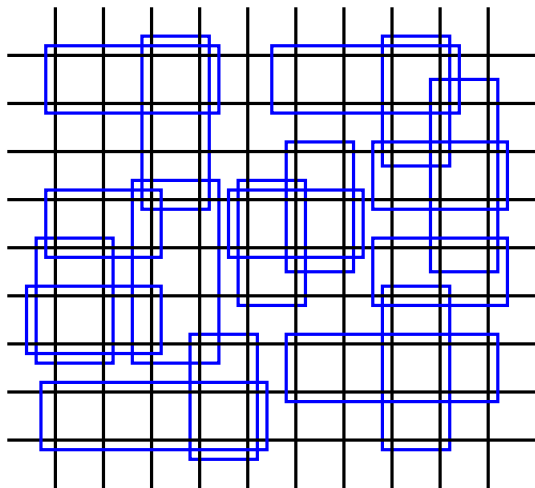
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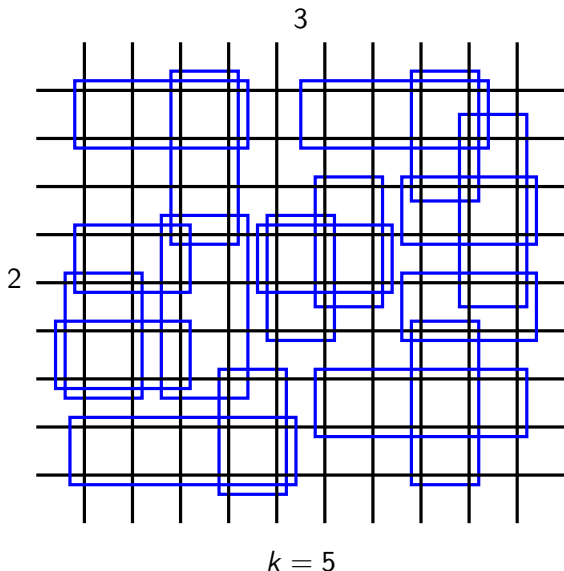
Restriction: Every rectangle has width b or height b .



$$k = 5$$

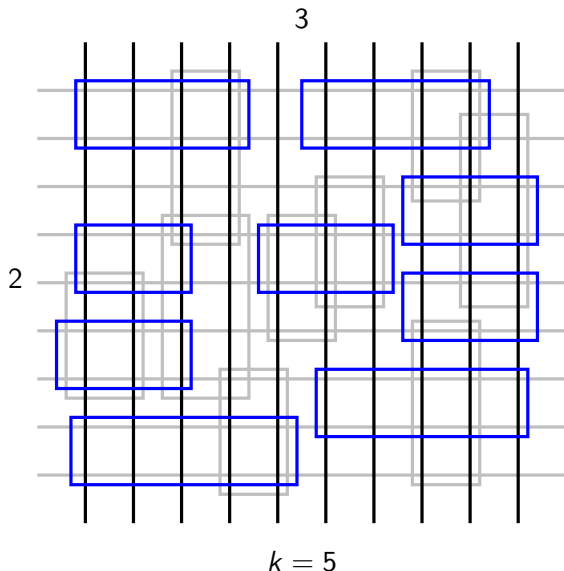
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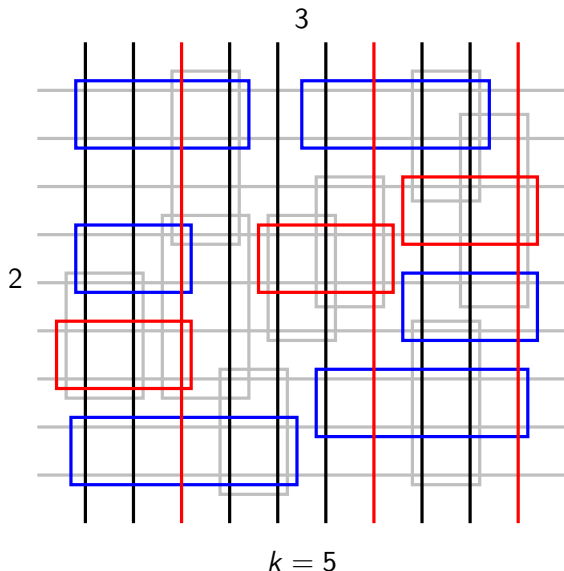
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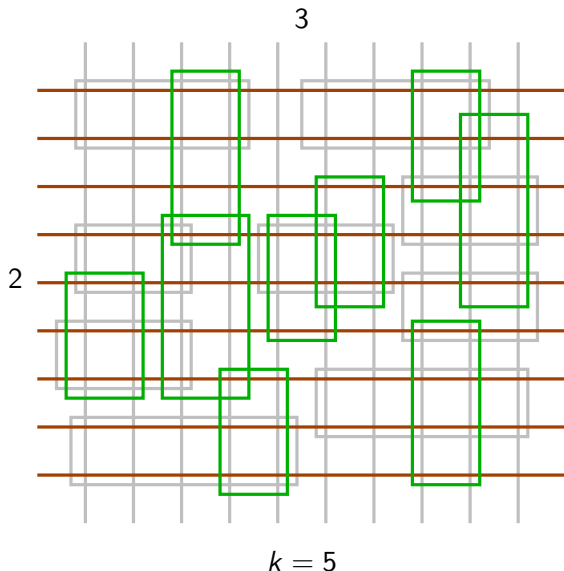
FPT Algorithm for Rectangles of Bounded Width or Height

Restriction: Every rectangle has width b or height b .



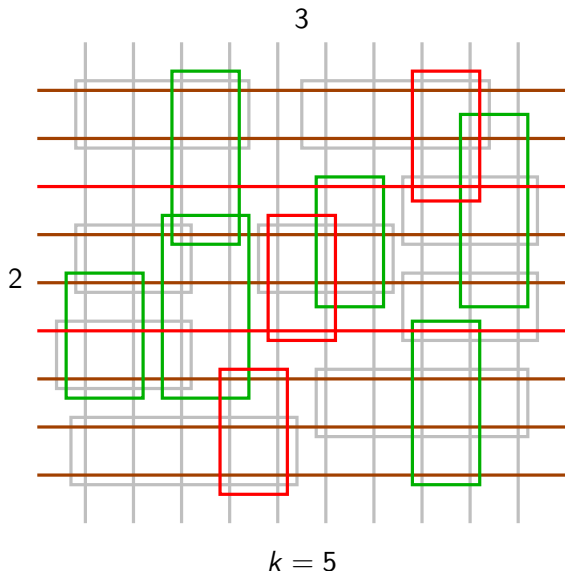
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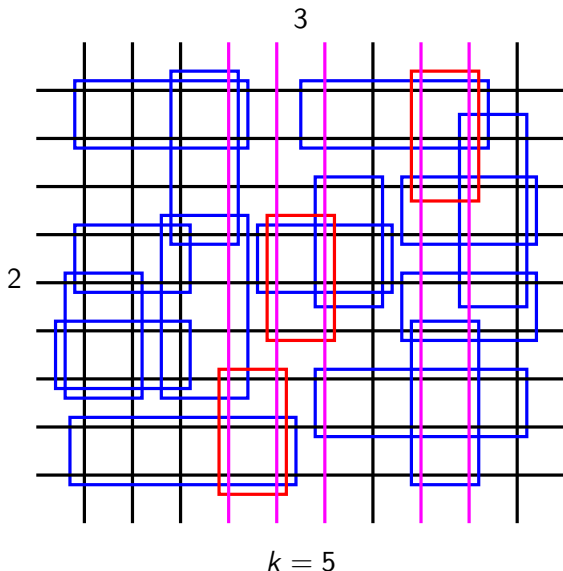
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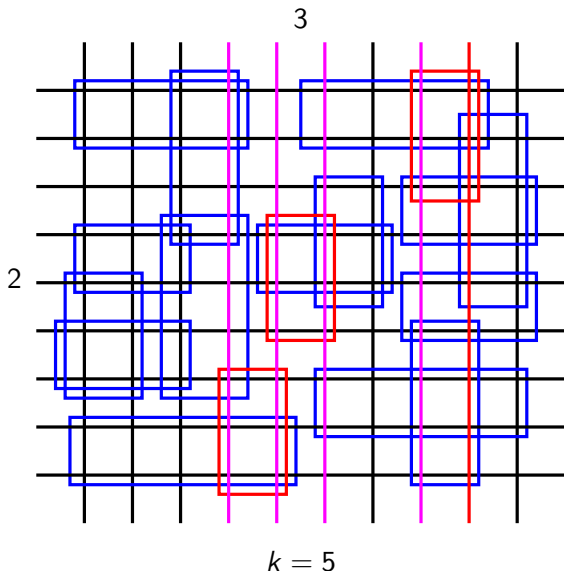
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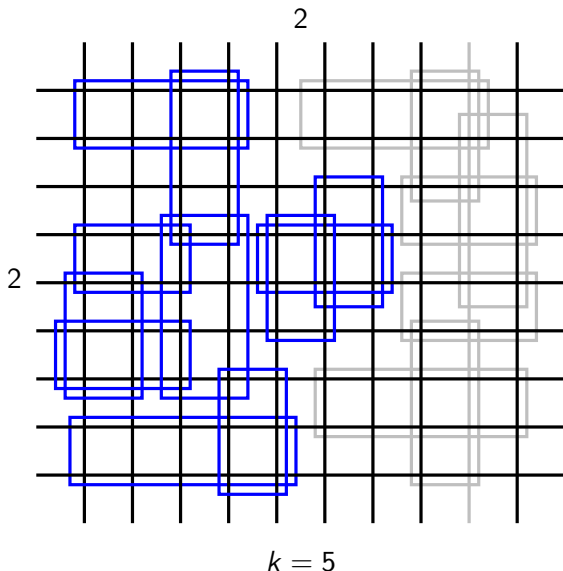
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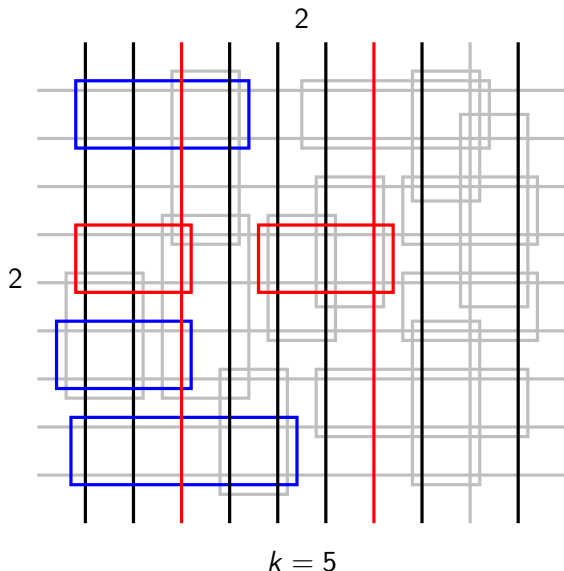
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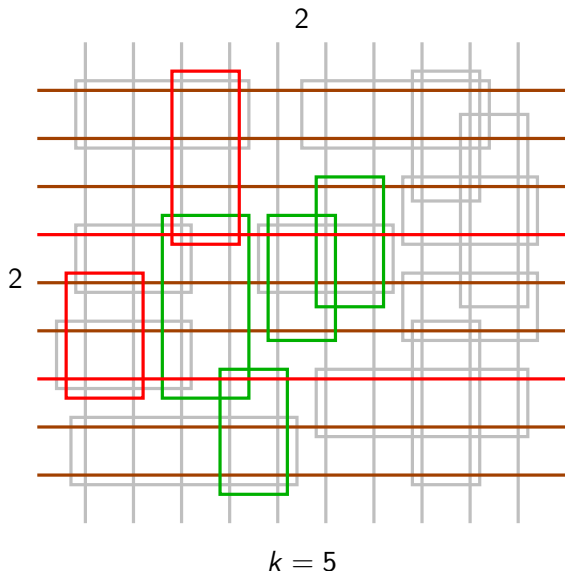
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Restriction: Every rectangle has width b or height b .



FPT Algorithm for Rectangles of Bounded Width or Height

Restriction: Every rectangle has width b or height b .



FPT Algorithm for Rectangles of Bounded Width or Height

Theorem

2-Dimensional Rectangle Stabbing can be solved in $O((bk)^k \cdot n^{O(1)})$ time when the width or the height of every rectangle in R is bounded from above by b .

Our Results

Hardness:

- ▶ 3-XC1P-Set Cover (and 3-Dimensional Rectangle Stabbing) is $W[1]$ -hard

Algorithms:

- ▶ $O((bk)^k \cdot n^{O(1)})$ time algorithm for 2-Dimensional Rectangle Stabbing when every rectangle has height or width b
- ▶ **Problem kernel for 2-Dimensional Rectangle Stabbing when every horizontal line intersects at most b rectangles**

Problem Kernel for Horizontal Lines with Few Intersections

Problem kernel:

- ▶ Main idea: Polynomial-time data reduction leads to a small problem instance.

$$(x, k) \rightsquigarrow (x', k')$$

- ▶ $|x'| \leq f(k)$
- ▶ $|k'| \leq k$
- ▶ (x', k') can be computed in polynomial time.

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Restriction: Every horizontal line intersects at most b rectangles.

- ▶ Polynomial time solvable for $b = 1$.
- ▶ NP-hard for $b \geq 2$.
- ▶ Easy size- $O(k^k)$ search tree for $b = 2$.
- ▶ Difficult for $b \geq 3 \dots$

Observation

For every b , to intersect all rectangles with vertical lines only, we need at most bk vertical lines.

Problem Kernel for Horizontal Lines with Few Intersections

Some Reduction Rules:

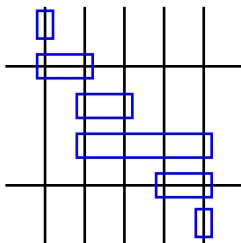
1. Delete “dominated” lines.
2. Delete “dominated” rectangles.

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Some Reduction Rules:

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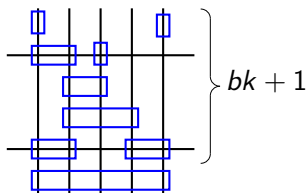
⇒ For every vertical line v there is a rectangle r_1 such that v is the leftmost line intersecting r_1 , and a rectangle r_2 such that v is the rightmost line intersecting r_2 .



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Additional reduction rules:

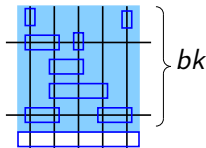
3. If there are $bk + 2$ rectangles $r_1, \dots, r_{bk+2} \in R$ such that for each $i \in \{1, \dots, bk + 1\}$ it holds that every vertical line that intersects r_i also intersects r_{bk+2} , then delete r_{bk+2} .



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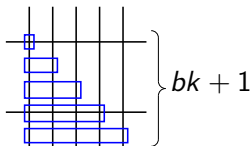
Observation

For every rectangle r in a reduced instance there are at most bk rectangles r' contained "in the vertical space" of r .



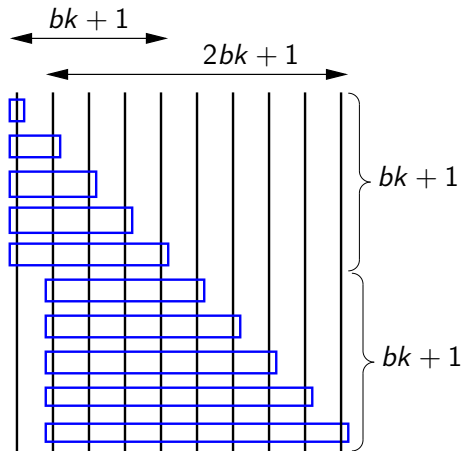
Observation

For every vertical line v there are at most $bk + 1$ rectangles "starting" at v .



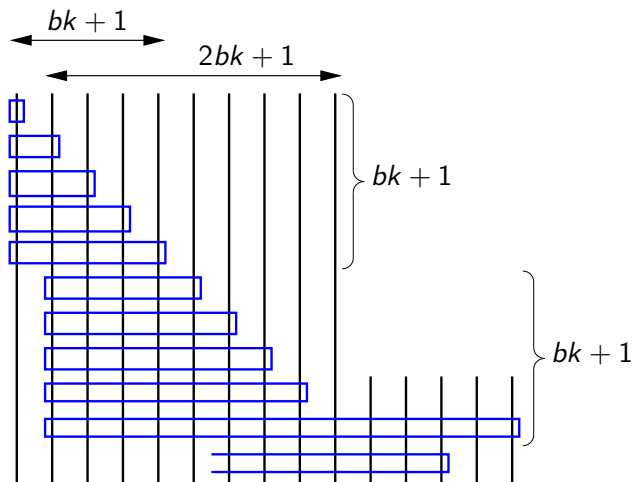
Problem Kernel for Horizontal Lines with Few Intersections

⇒ The possible width of a rectangle depends on the position of its left end: $w(r) \leq l(r) \cdot bk + 1$



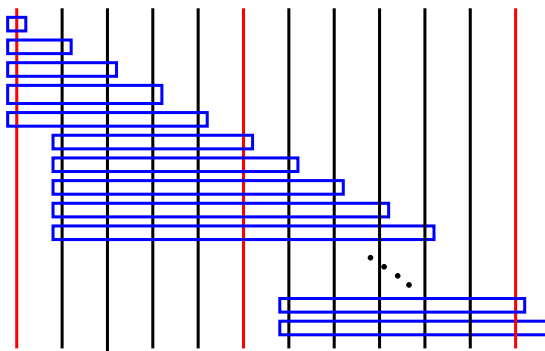
Problem Kernel for Horizontal Lines with Few Intersections

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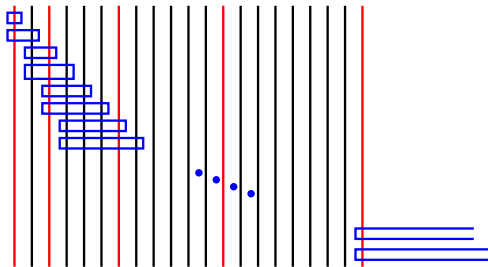


Problem Kernel for Horizontal Lines with Few Intersections

- ▶ The possible width of a rectangle depends on the position of its left end.
- ▶ We know: For every b , to intersect all rectangles with vertical lines only, we need at most bk vertical lines.
- ▶ \Rightarrow The i th vertical line in such a set of bk vertical lines is at position at most $((bk + 1)^i - 1)/bk$.



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Theorem

2-Dimensional Rectangle Stabbing has a kernel of size $O((bk + 1)^{bk})$ when every horizontal line intersects at most b rectangles.

Open Questions

- ▶ ~~Parameterized complexity of 2-Dimensional Rectangle Stabbing?~~
- ▶ Parameterized complexity of 2-Dimensional Rectangle Stabbing when no two rectangles overlap?
- ▶ Faster FPT algorithms for the restrictions considered here?
- ▶ Weighted versions of the restrictions considered here?